

# COMMON TRANSACTION FORM

Broker Name / ARN	Sub Broker Code / ARN	ISC Date Time Stamp Reference No.
NJ India Invest / ARN-0155		

\*Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor\*.

### Investor Details

Folio No.  Sole First Applicant

Scheme

Plan  Option

Dividend - Payout  Reinvestment  (frequency please ) Daily  Weekly  Monthly  Quarterly

Dividend Transfer  Please Specify Scheme / Plan

### Only for Application under Mirae Asset Gilt Fund - Provident Fund Sub Plan

- A Automatic Annual Reinvestment Option (AARO)  Amount Rs. \_\_\_\_\_/- AARO will Trigger on last Friday of the month of March of every Financial Year
- B Defined Maturity Date Option (DMDO)  Amount Rs. \_\_\_\_\_/- Date \_\_\_\_\_ DMDO will Trigger on Specified Date.
- C Automatic Capital Appreciation Payout Option (ACAPO) Please Tick  Option
- C-i - Monthly Option Last Friday of Every Month  ● C-ii - Quarterly Option Last Friday of the quarters ending Mar, Jun, Sep & Dec
- C-iii - Half Yearly Option Last Friday of the half year ending Mar and Sep  ● C-iv - Annual Option Last Friday of the month of March of every Financial Year

### Additional Purchase

I/We wish to apply Units for Rs. (figures)

Rs. (words)

Cheque / DD Number  Date

Drawn on

Branch  City

Account Type (✓): SAVINGS  NRE  CURRENT  NRO  FCNR

K.Y.C. Compliance*	
First Applicant	Yes <input type="radio"/> No <input type="radio"/>
Second Applicant	Yes <input type="radio"/> No <input type="radio"/>
Third Applicant	Yes <input type="radio"/> No <input type="radio"/>
Guardian (In case Minor)/POA	Yes <input type="radio"/> No <input type="radio"/>

\*Please attach proof

### Redemption

I/We wish to Redemption Units for Rs. (figures)  Or Units

Rs. (words)

Direct Credit to existing Bank Account. I/We request you to activate Direct Credit (DC) facility for my / our folio and remit the redemption / dividend proceeds through DC  (Please ). Mutual Fund will endeavour to remit proceeds through direct credit where ever possible.

### Switch Request

I/We wish to Switch Units for Rs. (figures)  Or Units

Rs. (words)

To Scheme/Plan/Option

### Change of Bank Mandate

Bank Name

Branch Name / Address  City  Pin

Bank Account No.  MICR Code  IFSC Code

Account Type (✓): SAVINGS  NRE  CURRENT  NRO  FCNR

I/We wish to receive Redemption / Dividend Proceeds by way of Direct Credit  Cheque Payout  ECS\*  (for Dividend payouts only)

The AMC has entered into arrangements with the following banks to facilitate direct credit of redemption and dividend proceeds (if any) into the bank account of the respective unit holders maintained with any of these banks. These banks are: ABN AMRO Bank NV, Axis Bank, Citibank NA, Deutsche Bank AG, HDFC Bank Ltd., ICICI Bank Ltd., IDBI Bank, Kotak Mahindra Bank Ltd. and Standard Chartered Bank. The list of banks is subject to change from time to time as and when AMC enters or withdraws info/from various arrangements with different banks.

### Declaration & Signature

I/We have read and understand the contents of the SID/SAI(s) and Addendum(s) thereto of the respective scheme(s) and agree to abide by the terms, conditions, rules and regulations of the scheme(s) as applicable from time to time.

\*The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us\*.

<input type="checkbox"/> Signature of 1st Applicant / Guardian / Authorised Signatory /PoA	<input type="checkbox"/> Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	<input type="checkbox"/> Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA
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### Acknowledgment Slip

Add. Purchase  Redemption  Switch  COA  COB

Received from  Folio No.

an application for Rs.  Units

vide cheque no.  Date

drawn on

Scheme name  (Please Specify Plan / Option / Sub Option)

For Switch Transaction - To Scheme  (Please Specify Plan / Option / Sub Option)

Signature & Stamp

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Folio No.  Sole First Applicant

Scheme

Plan  Option

Dividend - Payout  Reinvestment  (frequency please ) Daily  Weekly  Monthly  Quarterly

Dividend Transfer

### Change of Address / Contact Details#

New Address

City  Pin  State

Tel. Off.  Resi.  Mobile

E - Mail

# If you are KYC compliant, change of address request has to be submitted at POS of CVL using their form.

### Nomination Details (Optional) - Minor / HUF / POA Holder / Non Individuals cannot Nominate (For Fresh Nomination / Cancellation / Change)

I / We, the Applicant(s) do hereby nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees. (Please )  Fresh Nomination  Cancellation  Change in Nominees

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship	% of Share	Signature of Nominee / Guardian
1		D D M M Y Y Y Y				
2		D D M M Y Y Y Y				
3		D D M M Y Y Y Y				

\*If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

### Communication (Please )

I / We wish to receive Account Statements / Annual Reports / Qlty. Statements / Newsletter / Updates or any other Statutory Information via E-mail in lieu of Physical Documents.

### SMS Services (Please )

I / We wish to receive SMS alerts for our Investments.

### Transact On-line (Please )

I / We wish to transact On-line through Mirae Asset e-Fund Market Facility

### Documents Submitted (Please )

Memorandum & Articles of Association  Resolution / Authorisation to Invest  PAN Proof  List of Authorised Signatories & Specimen Signature(s)  
 KYC Compliance Status Proof  Trust Deed  Power of Attorney  Bye-Laws  Partnership Deed  FIRC  Cancelled Cheque Copy

### Declaration & Signature

I/We have read and understand the contents of the SID/SAI and Addendum(s) thereto of the respective scheme(s) and agree to abide by the terms, conditions, rules and regulations of the scheme(s) as applicable from time to time.

"The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us".

<input type="text"/> Signature of 1st Applicant / Guardian / Authorised Signatory /PoA	<input type="text"/> Signature of 2nd Applicant / Guardian / Authorised Signatory /PoA	<input type="text"/> Signature of 3rd Applicant / Guardian / Authorised Signatory /PoA
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### Instruction and Information

1. The Account Statement is a record of your transactions in the units of scheme(s) mentioned overleaf. This is not a document of title and is non-transferable. 2. Please review this statement and contact any of your nearest Investor Service Centre of Mirae Asset Mutual Fund or Investor Service Centre of KARVY within 10 days from the statement date, if there are any discrepancies. 3. Please quote your Folio Number in all future correspondence. Please use Transaction Slip for Additional Purchase, Redemption, Switch Request, Change of Address, Change / Record of Bank Details, etc. 4. All transaction requests must be signed in accordance with the mode of holding opted by the Investor. Any redemption/ dividend warrant and other communication will be addressed to the first holder. 5. Allotment of units is subject to realization of Cheque/ DD. 6. In case the investors do not mention the investment option, units will be allotted under default option as per respective scheme's SID. The investor may subsequently change the option by filling up a Transaction Slip, subject to applicable norms as given in the SID of the relevant scheme. 7. Mutual Fund will try and remit Redemption/ Dividend payment (if any) directly to the bank account of the unit holders who are banking with any one of the following banks - ABN AMRO Bank NV, Axis Bank, Citibank NA, Deutsche Bank AG, HDFC Bank Ltd., ICICI Bank Ltd., IDBI Bank, Kotak Mahindra Ltd. and Standard Chartered. However, if the remittance is delayed or not affected for reasons of incomplete or incorrect information, Mutual Fund cannot be held responsible. The Mutual Fund, however, reserves the right to issue a cheque/ demand draft in spite of an investor opting for Direct Credit. 8. Mirae Asset Mutual Fund may alter the list of banks participating in direct credit arrangement from time to time/ withdraw direct credit facility from banks, based on its experience of dealing with any such banks or add/ withdraw the name of bank with whom direct credit facility arrangement can be introduced/ discontinued as the case may be. However, in the event of direct credit facility being discontinued the Unit holder will receive payments in respect of dividend/ redemption through other mode such as cheque, demand draft, etc. 9. To make an additional purchase, please send us local cheque/ DD payable at any Mirae Asset Mutual Fund branch/ Authorised Collection Centres. Please make the cheque/ DD payable in favour of the respective scheme name. 10. Bank Details: It is mandatory for investors to mention in their Application/ Redemption request, the bank name and account number. Application without these details will be rejected. In case you have not yet provided your bank account details or if your bank details have changed, please use the space below for doing so. Mirae Asset Mutual Fund does not take any responsibility for loss/ fraudulent encashment of redemption/ dividend warrant. 11. Permanent Account Number (PAN) : Any application, irrespective of the amount, should quote PAN along with an attested copy of the PAN. In case of joint applicants, PAN of all holders along with documentary proof of PAN (photocopy of PAN Card) must be submitted. In case of a minor PAN details of the Guardian must be submitted. Applications without these details would be rejected by the Mutual Fund without any reference to the investor. Know Your Customer (KYC): KYC is mandatory for all subscriptions for Rs. 50,000 and above. For complete details, please refer the SID. 12. Redemption/ Switches will be processed only if amount/ units is clearly mentioned. 13. If the Folio does not have sufficient amount/ units, entire such (lesser) balance in Folio will be redeemed/switched. 14. Investors are deemed to have read & understood the terms & conditions of the SID or the scheme in which they are transacting as of the date of the transaction.