

# Transaction Form For Existing Investors

This Form is for use by existing Unitholders of all funds of HSBC Mutual Fund only  
Please use a separate Form for each Transaction

No. **CT**

<b>Distributor / Broker ARN</b>	<b>Sub-Broker Code</b>
<b>ARN - 0155 NJ India Invest</b>	

<b>For Office Use Only</b>

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor. Only empanelled Distributors / Brokers will be permitted to distribute Units of HSBC Mutual Fund.

## 1 UNITHOLDER INFORMATION (MANDATORY)

<b>Folio No.</b>	<input type="checkbox"/> Sole / First Unitholder's Name	<input type="checkbox"/> Guardian	<input type="checkbox"/> Second Unitholder	<input type="checkbox"/> Third Unitholder
	Mr Ms M/s			
<b>PAN** (Mandatory)</b>				
Enclosed (✓)	<input type="checkbox"/> PAN Card Copy <input type="checkbox"/> KYC Compliance Proof*	<input type="checkbox"/> PAN Card Copy <input type="checkbox"/> KYC Compliance Proof*	<input type="checkbox"/> PAN Card Copy <input type="checkbox"/> KYC Compliance Proof*	<input type="checkbox"/> PAN Card Copy <input type="checkbox"/> KYC Compliance Proof*
<b>Date of Birth (Mandatory for Minors)</b>	<input type="text"/>			

\* W.e.f. 01 February, 2008, if the investment is Rs. 50,000 and above, all the applicants need to be KYC Compliant. Please see point 8 under General Instructions.  
\*\* Please note that w.e.f. 01 January, 2008, copy of PAN Card is Mandatory for all investors (including Joint Holders, Guardian in case of Minor and NRIs). Please submit photocopy of PAN Card (alongwith the original) for verification, which will be returned across the counter. Please see point 7 under General Instructions.

## 2 ADDITIONAL PURCHASE (Please ✓ your choice of Scheme / Plan / Option) (See instruction 1-4)

<b>Scheme Name</b>	<input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HPTF <input type="checkbox"/> HEMF <input type="checkbox"/> HTSF <input type="checkbox"/> HDF <input type="checkbox"/> HIF <input type="checkbox"/> HGF <input type="checkbox"/> HMIP <input type="checkbox"/> HFRF <input type="checkbox"/> HCF <input type="checkbox"/> HUSBF <input type="checkbox"/> HFDF
<b>Plan</b>	<input type="text"/>
<b>Option</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus
<b>Sub-option</b>	<input type="checkbox"/> Growth* <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout
<b>Dividend Frequency</b>	<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly# <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly^

The scheme name mentioned on the application form and the cheque has to be the same. In case of any discrepancy between the two, units will be allotted as per the scheme name mentioned on the cheque only.

<b>Investment Amount (Rs.)</b>	<b>DD charges (Rs.)</b>	<b>Net Amount (Cheque / DD amount) (Rs.)</b>
<input type="text"/>	<input type="text"/>	<input type="text"/>
(Rs. in words)		
<b>Mode of Payment</b>	<input type="checkbox"/> Cheque / DD / Fund Transfer / <input type="text"/>	<b>Cheque / DD No.</b> <input type="text"/>
<b>A/c. No.</b>	<input type="text"/>	<b>A/c. Type (✓)</b> <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO* <input type="checkbox"/> NRE* (* For NRI Investors)
<b>Drawn on</b>	<b>Bank</b> <input type="text"/>	
	<b>Branch</b> <input type="text"/>	
	<b>City</b> <input type="text"/>	

**MANDATORY DECLARATION :** The details of the bank account provided above pertain to my / our own bank account in my / our name (✓)  Yes  No. If No, my relationship with the bank account holder is (✓)  Spouse  Child  Parent  Relative  Sibling  Friend  Others. Transaction Form without this information is liable to be rejected.

## 3 REDEMPTION (Please ✓ your choice of Scheme / Plan / Option) (See instruction 1, 2 & 5)

<b>Scheme Name</b>	<input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HPTF <input type="checkbox"/> HEMF <input type="checkbox"/> HDF <input type="checkbox"/> HIF <input type="checkbox"/> HGF <input type="checkbox"/> HMIP <input type="checkbox"/> HFRF <input type="checkbox"/> HCF <input type="checkbox"/> HUSBF <input type="checkbox"/> HFDF <input type="checkbox"/> HFTS <input type="checkbox"/> HINF
<b>Plan</b>	<input type="text"/>
<b>Option</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus
<b>Sub-option</b>	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout
<b>Dividend Frequency</b>	<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly# <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly^

<input type="checkbox"/> Amount (Rs. in figures) <input type="text"/>	<b>OR</b>	<input type="checkbox"/> No. of Units <input type="text"/>	<input type="checkbox"/> All Units
(Rs. in words) <input type="text"/>			

## 4 SWITCH (Please ✓ your choice of Scheme / Plan / Option)

<b>Switch From</b>	<b>Switch To</b>
<b>Scheme Name</b>	<b>Scheme Name</b>
<input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HPTF <input type="checkbox"/> HEMF <input type="checkbox"/> HDF <input type="checkbox"/> HIF <input type="checkbox"/> HGF <input type="checkbox"/> HMIP <input type="checkbox"/> HFRF <input type="checkbox"/> HCF <input type="checkbox"/> HUSBF <input type="checkbox"/> HFDF <input type="checkbox"/> HFTS <input type="checkbox"/> HINF	<input type="checkbox"/> HEF <input type="checkbox"/> HIOF <input type="checkbox"/> HMEF <input type="checkbox"/> HPTF <input type="checkbox"/> HTSF <input type="checkbox"/> HEMF <input type="checkbox"/> HDF <input type="checkbox"/> HIF <input type="checkbox"/> HGF <input type="checkbox"/> HMIP <input type="checkbox"/> HFRF <input type="checkbox"/> HCF <input type="checkbox"/> HUSBF <input type="checkbox"/> HFDF
<b>Plan</b>	<b>Plan</b>
<input type="text"/>	<input type="text"/>
<b>Option</b>	<b>Option</b>
<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus	<input type="checkbox"/> Regular <input type="checkbox"/> Institutional <input type="checkbox"/> Institutional Plus
<b>Sub-option</b>	<b>Sub-option</b>
<input type="checkbox"/> Growth <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout	<input type="checkbox"/> Growth* <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Dividend Payout
<b>Dividend Frequency</b>	<b>Dividend Frequency</b>
<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly# <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly^	<input type="checkbox"/> Daily** <input type="checkbox"/> Weekly** <input type="checkbox"/> Monthly# <input type="checkbox"/> Quarterly <input type="checkbox"/> Fortnightly^

<input type="checkbox"/> Amount (Rs. in figures) <input type="text"/>	<b>OR</b>	<input type="checkbox"/> No. of Units <input type="text"/>	<input type="checkbox"/> All Units
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\* Default Sub-option, if not ticked. The Dividend Option (Reinvestment or Payout) chosen will be applied to all existing Units held in that Scheme in the destination Folio. ~ Dividend Payout is available only in the Monthly and Quarterly Sub-options. \*\* Applicable for Regular / Institutional / Institutional Plus Options of HCF, HFRF-ST, HIF-ST and HUSBF only. # Applicable for Institutional / Institutional Plus Options of HCF, HFRF-ST, HIF-ST, HUSBF and Regular / Institutional Option of HFDF only. ^ Applicable for Institutional Option of HFDF only.

## 5 CHANGE OF BANK MANDATE

<b>Update (✓)</b>	<input type="checkbox"/> Folio Level (Default) <input type="checkbox"/> Transaction Level <input type="checkbox"/> Scheme Level
<b>A/c. No.</b>	<input type="text"/>
<b>Bank Name</b>	<input type="text"/>
<b>Branch Address</b>	<input type="text"/>
<b>MICR Code</b>	<input type="text"/>
<b>RTGS IFSC Code</b>	<input type="text"/>
<b>NEFT IFSC Code</b>	<input type="text"/>

For Rupees One lakh and above For less than Rupees One lakh  
Please also provide a cancelled cheque leaf of the same bank account as mentioned above. Mentioning your RTGS IFSC Code or NEFT IFSC Code, as applicable, will help us transfer the amount to your bank account quicker, electronically.

...continued overleaf %

## ACKNOWLEDGEMENT SLIP (To be filled by the investor) No. **CT**

Note: This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

<b>Folio No.</b>	<input type="text"/>	<b>Name</b>	<input type="text"/>
Received from the above mentioned investor the following :			
<input type="checkbox"/> <b>Additional Purchase Scheme</b>	<input type="text"/>	<b>Total Amount (Rs.)</b>	<input type="text"/>
<input type="checkbox"/> <b>Cheque No(s)</b>	<input type="text"/>	<b>Drawn on</b>	<input type="text"/>
<input type="checkbox"/> <b>Redemption</b> or <input type="checkbox"/> <b>Switch</b>	<b>Amount (Rs.)</b>	<input type="text"/>	<b>OR</b> <b>Units</b>
<input type="checkbox"/> <b>STP</b> <input type="checkbox"/> <b>SEP</b> <input type="checkbox"/> <b>Change of Bank Mandate</b> <input type="checkbox"/> <b>Change of Address</b> <input type="checkbox"/> <b>Nomination / Cancellation of Nomination</b>			

Signature, Stamp & Date

