

SPECIAL PRODUCTS APPLICATION FORM (SIP-PDC/ SWP/ STP/ MICRO SIP)

1. DISTRIBUTOR INFORMATION (Refer Instruction No. 1) FOR OFFICE USE ONLY

| | | | | |
|----------------------------|-----------------------|-------------------------------|----------------------|----------------------|
| Name & Agent Code | Sub-Agent Name & Code | Bank/Branch Name & Serial No. | Registrar Serial No. | Date/Time of Receipt |
| NJ India Invest / ARN-0155 | | | | |

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including services rendered by the distributor.

2. INFORMATION OF EXISTING INVESTOR (For existing Investors / Zero Balance Folio Holders, please mention the Folio Number & go directly to Section 7 (Scheme Details). Note that Applicant Details and Mode of Holding will be as per existing Folio Number) (Refer Instruction No 2)

Folio No. / ZERO Balance Folio Number Mandatory field*

3. APPLICANT INFORMATION (Please refer Point No. 8)

Name of Sole /First Applicant Mr. Ms. M/s. Date of Birth DD MM YY (*Mandatory for all investors)

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Documents Enclosed^A Micro SIP PAN Proof KYC^B PAN*

Name of Second Applicant Mr. Ms. M/s. Date of Birth DD MM YY (*Mandatory for all investors)

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Documents Enclosed^A Micro SIP PAN Proof KYC^B PAN*

Name of Third Applicant Mr. Ms. M/s. Date of Birth DD MM YY (*Mandatory for all investors)

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Documents Enclosed^A Micro SIP PAN Proof KYC^B PAN*

Name of Guardian/Contact Person^B Relationship with MINOR Guardian's Date of Birth DD MM YY

F I R S T N A M E M I D D L E N A M E L A S T N A M E

Documents Enclosed^A Micro SIP PAN Proof KYC^B PAN*

^APlease mention the contact person in case of Non-individual ^BKYC - Mandatory for investments of Rs. 50,000/- and above ^CFor Micro SIP refer Point No. 6 and 7

Mode of Holding Single Joint^C Anyone or Survivor ^D(Default)

4. SYSTEMATIC INVESTMENT PLAN (SIP) / MICRO SIP

SIP Micro SIP (Refer Instruction No. 5)

SCHEME*: _____ **PLAN*:** _____ **OPTION*:** _____

SUB OPTIONS*: _____ **DIVIDEND FREQUENCY*:** _____

Investment Amount (Rs.) (in figures) Investment Period (in months) From DD MM YY To DD MM YY YY

Investment Commencement Date DD MM YY YY YY YY Dates 1st 7th* 10th 15th 20th 25th (*Default date is 7th)

Drawn on Bank Branch

Cheque Dates From DD MM YY YY YY To DD MM YY YY YY Cheque Nos. From To

Account Type [Please tick ()] SAVINGS CURRENT OTHERS (please specify) _____ Frequency [Please tick ()] MONTHLY* (*Minimum 6 months)

PDC facility for daily SIP is not available

5. SYSTEMATIC WITHDRAWAL PLAN (SWP)

FROM SCHEME*: _____ **PLAN*:** _____ **OPTION*:** _____

SUB OPTIONS*: _____ **DIVIDEND FREQUENCY*:** _____

Withdrawal Option [Please tick ()] FIXED or APPRECIATION WITHDRAWAL Amount (Rs.) (in figures)

Total Amount of SWP (Rs.) (in figures) Fixed Withdrawal Frequency [Please tick ()] MONTHLY (minimum 6 months) or QUARTERLY

Dates (Only one date) 1st 7th* 10th 15th 20th 25th (*Default date is 7th) Withdrawal Period From DD MM YY YY YY To DD MM YY YY YY

6. SYSTEMATIC TRANSFER PLAN (STP) (Please refer Point No. 17 and 18)

FROM SCHEME*: _____ **PLAN*:** _____ **OPTION*:** _____

TO SCHEME*: _____ **PLAN*:** _____ **OPTION*:** _____

Amount per Transfer (Rs.) Transfer Period From DD MM YY YY YY To DD MM YY YY YY

Dates 1st 7th* 10th 15th 20th 25th (*Default date is 7th) Frequency [Please tick ()] DAILY MONTHLY

Total Amount of Transfer (Rs.) (in figures) Total Amount in words _____ No. of Installments _____

7. DECLARATION AND SIGNATURES

I/We have read and understood the contents of the Scheme Information Document and Statement of Additional Information of Bharti AXA Mutual Fund including the section on "Who cannot invest" and "Prevention of Money Laundering". I/We hereby apply for Allotment/Purchase of Units in the Scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am/are authorised to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any regulatory authority in India. I/We hereby authorise Bharti AXA Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s)/Bharti AXA Mutual Fund's bank(s) and/or Distributor/Broker/Investment Advisor. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated.

Applicable to NRI only: I/We confirm that I am/we are Non-Resident Indian/Person of Indian Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account. I/We undertake that all additional purchases made under this Folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/NRO/FCNR Account.

I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Signature(s) Sole / First Applicant Second Applicant Third Applicant

(To be signed by All Applicants if mode of operation is Joint)

ACKNOWLEDGEMENT SLIP Folio No. / Application No.

To be filled in by the Investor

(To be filled in by the First applicant/Authorized Signatory) :

Received from Name & address :

an application for Purchase of Units alongwith Cheque SIP-PDC/ Micro SIP-PDC/ SWP/ STP For Rs.

All purchases are subject to realisation of cheques. Cheque Number from to

Acknowledgement Stamp

INSTRUCTIONS - COMMON TO SIP-PDC/ SWP/ STP FACILITIES AVAILABLE UNDER THE SPECIAL PRODUCTS (SIP-PDC/ SWP /STP)

- Existing investors seeking for Special Products only, need to fill up this Special Product Form. New investors who wish to enrol for the special products should fill up Special Product Form in addition to the Common Application Form.
 - In case of renewal of SIP-PDC/ SWP/ STP this form should be submitted at least 15 working days prior to the due date.
 - The investor has the right to discontinue SIP/ SWP/ STP at any time he/she so desires by sending a written request at least 15 working days prior to the due date of next SIP / SWP / STP to any of the offices of Bharti AXA Mutual Fund or its Authorised Collection Centres. On receipt of such request the SIP / SWP / STP will be terminated and in case of SIP balance post-dated cheques will be returned to the investor.
 - Units will be allotted / redeemed / transferred at the Applicable NAV related prices of the 1st /or 7th /or 10th /or 15th /or 20th /or 25th of every month (or next business day, if 1st /or 7th /or 10th /or 15th /or 20th /or 25th non business day). In case of Post Dated Cheques submitted for any dates, other than the dates offered, immediate next offered date would be considered as the SIP date(s). An account statement will be dispatched to the unit holders once every quarter within 10 working days of the end of each quarter. The first account statement will be issued within 10 working days of the initial investment.
 - Micro SIP shall be applicable where aggregate of installments in a rolling 12 months period or in a financial year i.e. April-March does not exceed Rs. 50,000/-.
 - Micro SIP benefit is available to individuals, NRI's, Minors and Sole Proprietors only. HUF's, PIO's and any other entities/ persons etc. are not eligible. The AMC will reject the application where they find that documents are deficient or where the installment total will exceed Rs. 50,000/-.
 - Eligible investors are required to submit any one of the following photo identification document as a proof of identification in lieu of PAN:
 - Voter Identity Card; 2. Driving License; 3. Government / Defense identification card; 4. Passport; 5. Photo Ration Card; 6. Photo Debit Card; 7. Employee ID cards issued by companies registered with Registrar of Companies; 8. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; 9. ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks; 10. Senior Citizen / Freedom Fighter ID card issued by Government; 11. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; 12. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); and 13. Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.
 - Except for investors availing for Micro SIP, it is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, incase the amount of investment is Rs. 50,000/- or above in a year, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, however sufficient documentary evidence shall have to be submitted to Bharti AXA Mutual Fund for verifying that they are residents of State of Sikkim. However KYC norms would have to be adhere to, where investments are more than Rs. 50,000/-.
- It is mandatory for investors to be KYC compliant if investing more than Rs. 50,000/- as per the Prevention of Money Laundering Act, 2002. For further details please refer to terms & conditions mentioned in the main Application Form.
- DIRECT APPLICATIONS :** Investors should ensure to write the word 'DIRECT' in the column 'ARN No' or 'Broker Code' in their applications for purchases/additional purchases/ switches/fresh Systematic Investment Plans (SIP)/ fresh Systematic Transfer Plans (STP) in all such cases where applications are not routed through any distributor/agent/broker. In cases where unit holder uses a pre-printed transaction slip where details in the 'ARN No' or 'Broker Code' column is already printed, unit holder should cancel the ARN No/ Broker Code, write 'DIRECT' in the said column and it should also be counter signed by the unit holder/ all joint holder(s). Transactions slips/application forms where the column under 'ARN No or 'Broker Code' is provided, has to be filled by the unit holder as 'DIRECT' for processing the same as Direct applications. Investors can submit their applications for purchases /additional purchases/ switches/fresh SIP/ fresh STP at any of the Official Point(s) of Acceptance of Bharti AXA Mutual Fund. The list of Official Point(s) of Acceptance is available on the website of Bharti AXA Mutual Fund (www.bharti-axa-im.com).
 - The applicability of SIP/ SWP/ STP will be as per the terms & conditions of the respective Scheme Information Documents and Statement of Additional Information.
 - Please attach Photo copy of a cancelled cheque.
 - All post-dated cheques have to be issued favouring the individual scheme name (For SIP-PDC).
 - The first investment cheque while applying for Daily SIP/ Monthly SIP can be either equal to or greater than the subsequent Daily SIP/ Monthly SIP instalment amounts. The subsequent Daily SIP/ Monthly SIP instalment amounts however should remain the same as per the scheme specific information document and all the post-dated cheques issued.
 - Cheques should be drawn payable at locations of BAIM branches & authorised centres. Non MICR / outstation post dated cheques will not be accepted for SIP.
 - Options available under SWP & Minimum Amount:
 - Fixed withdrawal : Investors can withdraw fixed amount of Rs. 500/- and in multiples of Rs. 100/- thereafter at regular intervals & the dates applicable for the same are 1st /or 7th /or 10th /or 15th /or 20th /or 25th for minimum 6 months.
 - Appreciation SWP : Appreciation of above Rs. 500/- can be withdrawn at monthly & quarterly frequency & the dates applicable for the same are 1st /or 7th /or 10th /or 15th /or 20th /or 25th of the relevant month.
 - In both Fixed Withdrawal and Appreciation SWP, Units will be redeemed basis Applicable NAV on the date chosen as per above.
 - If the STP application has from date and to date, then it refers to calendar days and processing happens only on business days.
 - If the STP application has number of installments, the number of installment is registered by omitting the non business day and holidays.

Ready Reckoner for Special Product

| Schemes | SIP | | | | STP | | | | SWP | | | | |
|-------------------------------|-----------|---------------------------------------|---------------|---------------------------------------|-----------|----------------|--|-------------------|---------------------------------------|--|----------------------|------------------------------|---|
| | Available | Minimum Amount | SIP Frequency | Duration | Available | Minimum Amount | STP Frequency | Duration | Available | Minimum Amount | Withdrawal Frequency | Minimum Duration Installment | |
| | | | | | IN | OUT | | | | | | | |
| Bharti AXA Equity Fund | | Daily - Rs.300 Monthly - Rs. 1,000 | Daily Monthly | Daily - 1 Month Monthly - 6 Months | | | Daily - Rs.300 Monthly - Rs. 1,000 | Daily and Monthly | Daily - 1 Month Monthly - 6 Months | | | Not Applicable | |
| Bharti AXA Tax Advantage Fund | | Rs. 500 | Monthly | 6 Months | | | Rs. 500 and in multiples of Rs. 500 thereafter | Monthly | 6 Months | *Minimum balance in a scheme for SWP should Rs 10,000. | Rs. 500 | Monthly, Quarterly | 6 |

Bharti AXA Equity Fund currently does not offer STP OUT facility.
 Daily SIP is allowed only in respect of Bharti AXA Equity Fund.
 Default dates for Monthly/Quarterly SIP/SWP/STP is 7th of relevant month.
 Withdrawals are subject to 3 year lock-in under Bharti AXA Tax Advantage Scheme.

For more information visit us at
www.bharti-axa-im.com

Call us at (Toll Free)
1-800-1032-263

Email us at
service@bharti-axa-im.com

Alternate Number
020-4011 2300